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PATENT
5702D-6844

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

TANAKA, et al.
Serial No: 09/130,818
Filed: August 7, 1998

For: METHOD FOR CONTROLLING NON-VOLATILE
SEMICONDUCTOR MEMORY SYSTEM

Art Unit: 2751
Examiner: unassigned

#3

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington D.C. 20231, on

November 10, 1998
Date of Deposit

William H. Wright
Name

[Signature] 11/10/98
Signature Date

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated September 11, 1998, response to which is due November 11, 1998, enclosed are the following:

- X A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- X A "Declaration & Power of Attorney" executed by the inventors.
- X A check in the amount of \$1736 in payment of the filing fee and excess claims fee.
- X A check in the amount of \$130 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any additional amounts due in connection with this matter to our Deposit Account No. 12-1820. (A copy of this letter is enclosed).

Respectfully submitted,

LOEB & LOEB LLP

Date: November 10, 1998

By: [Signature]
William H. Wright
Registration No. 36,312
Attorney for Applicant(s)

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Los Angeles, CA 90067-4164
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/130,818 08/07/98 TANAKA

5702D-6844

0232/0911

LOEB & LOEB
10100 SANTA MONICA BLVD
SUITE 2200
LOS ANGELES CA 90067-4164

NOT ASSIGNED

2751

DATE MAILED:

09/11/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1866.00.

- ☒ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 946.00, including any multiple dependent claim fees, are required.

\$ 702.00 for 11 independent claims over 3.

\$ 244.00 for 2 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY

11/11/1998 THU 11:00 AM 00000000 09130818
01 FC:101 790.00
02 FC:102 902.00
03 FC:103 44.00
04 FC:105 130.00